Developed by the Diarrhea & Pneumonia Working Group

### **Background**

The <u>Diarrhea & Pneumonia Working Group</u> is a global coordinating body focused on accelerating access to treatment for child diarrhea and pneumonia in 10 high-burden countries. Consistent with other global efforts, the Working Group aims to reduce child mortality and morbidity by increasing coverage of ORS, zinc, and amoxicillin to 60-80% by 2015. The Working Group consists of over 20 partners representing NGOs, donors, and the private sector and provides technical assistance, resource mobilization, and monitoring evaluation support to organizations and governments working in the 10 countries. To date, these countries have developed comprehensive, costed national scale-up plans for childhood essential medicines and have made initial progress on resource mobilization, partner coordination and implementation.

### Performance Indicator List for Diarrhea & Pneumonia Treatment Scale-up

To measure progress across the 10 countries, the Working Group has endorsed a common evaluation framework—including a set of performance indicators (see Table 1). A Monitoring and Evaluation Subgroup<sup>3</sup> led the development process and provide expert technical guidance on methods and tools to measure progress against the performance indicators.

The M&E Subgroup consulted with members from the Working Group as well as other global initiatives (see Table 2). A comprehensive review of indicators from key documents—including global tracking efforts, existing surveys and questionnaires, and relevant literature evaluating the validity of indicators (see Table 3) was also conducted.<sup>4</sup> The Performance Indicators will be revised as more evidence on the validity and appropriateness of new indicators becomes available.

### **Using the Performance Indicators**

The Performance Indicator List is intended to serve as a basis for discussions at global and country levels around evaluating progress against treatment scale-up and facilitating alignment of M&E efforts across programs. At the **global level**, Working Group members have agreed to incorporate the indicators in their existing and planned M&E frameworks for diarrhea and pneumonia treatment scale-up programs and report results during future meetings of the Working Group. At the **country level**, country stakeholders and governments are encouraged to adapt the Performance Indicators to fit their local country contexts based on available evidence and data sources. Joint M&E and planning efforts can be achieved through existing country coordinating mechanisms and through individual programs.

To help support implementation of the Performance Indicators, the M&E Subgroup will develop a resource toolbox to ensure indicators are being measured using validated and comparable methods (the toolbox will be available by the end of 2013). The M&E Subgroup will also further investigate relevant indicators for future inclusion (e.g., pneumonia treatment coverage, definition of 'appropriate provider') as additional evidence becomes available.

### **Contact Us**

For more information, contact Felix Lam, Clinton Health Access Initiative (flam@clintonhealthaccess.org)

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<sup>&</sup>lt;sup>1</sup> Bangladesh, DRC, Ethiopia, India, Kenya, Niger, Nigeria, Pakistan, Tanzania, and Uganda, which account for 60% of the global burden

<sup>&</sup>lt;sup>2</sup> Global Action Plan for the Prevention and Control of Pneumonia and Diarrhea (GAPPD), the UN Commission on Life-Saving Commodities for Women and Children, the Every Women Every Child movement, and Countdown 2015

<sup>&</sup>lt;sup>3</sup> Includes M&E technical experts from various partners, including Abt Associates, CHAI, JSI, MSH, Save the Children, and UNICEF

<sup>&</sup>lt;sup>4</sup> During the 2<sup>nd</sup> quarterly meeting on June 19-20 in New York

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Table 1. Performance Indicators for Diarrhea & Pneumonia Treatment

	PERFORMANCE INDICATORS – DIARRHEA TREATMENT						
Indicator number	Indicator	Definition	Metric	Method	Existing sources to leverage	Alignment with other tracking efforts	
Hullibel	mulcator	Definition	Numerator: Number of children under	Wethou	to leverage	enorts	
			age five with diarrhea in the previous				
			two weeks who sought care from an				
		Proportion of children under age five	appropriate healthcare provider				
		with diarrhea in the previous two	<b>Denominator:</b> Total number of children				
		weeks who sought care from an	under age five with diarrhea in the	Population-based	DHS		
D.1.	Diarrhea care-seeking	appropriate healthcare provider <sup>5</sup>	previous two weeks	household survey	MICS		
			Numerator: Number of children under				
			age five with diarrhea in the previous				
			two weeks who received ORS			Countdown	
		Proportion of children under age five	<b>Denominator:</b> Total number of children			2015	
		with diarrhea in the previous two	under age five with diarrhea in the	Population-based	DHS	GAPPD	
D.2.	ORS coverage	weeks who received ORS	previous two weeks	household survey	MICS	UNCoLSC	
			Numerator: Number of children under				
			age five with diarrhea in the previous				
			two weeks who received zinc with ORS				
		Proportion of children under age five	<b>Denominator:</b> Total number of children				
	ORS and zinc combined	with diarrhea in the previous two	under age five with diarrhea in the	Population-based	DHS	GAPPD	
D.3.	coverage	weeks who received zinc with ORS	previous two weeks	household survey	MICS	UNCoLSC	
			Numerator: Number of healthcare				
			treatment sources with ORS and zinc in-		SPA		
		Proportion of healthcare treatment	stock on the day of the survey	Health facility	UNCoLSC		
		sources with ORS and zinc in-stock on	<b>Denominator:</b> Total number of	assessment/Retail	Facility		
D.4.	ORS and zinc availability	the day of the survey	healthcare treatment sources	audit	Assessment	UNCoLSC	
	National treatment	ORS and zinc is the recommended first-			MoH Treatment		
D.5.	guidelines	line treatment for diarrhoea	N/A	Document review	Guidelines		
D.C.	75070	Zinc is designated as an over-the-	21/2	D	National Drug		
D.6.	Zinc OTC status	counter class drug	N/A	Document review	Authority		
0.7	Low-osmolarity ORS	At least one L-ORS product registered	N/A	De sums and mandage	National Drug		
D.7.	registration	with National Drug Authorities	N/A	Document review	Authority		
D.0	7ina wasistwatian	At least one zinc product registered	N/A	De sums and mandage	National Drug		
D.8.	Zinc registration	with National Drug Authorities	N/A	Document review	Authority		
	ODS and sine one included to				Essential		
	ORS and zinc are included in				Medicines List		
	the Essential Medicines List	ODS and sine are included in the ENAL			National		
D 0	(EML) and National	ORS and zinc are included in the EML	N/A	Decument review	Procurement	LINCALSC	
D.9.	Procurement List	and National Procurement list	IN/A	Document review	List	UNCoLSC	

<sup>&</sup>lt;sup>5</sup> An appropriate healthcare provider is defined as any person legally able to carry and provide ORS and zinc according to the country's guidelines August 22, 2013

Diarrhea & Pneumonia Working Group

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PERFORMANCE INDICATORS – PNEUMONIA TREATMENT						
Indicator number	Indicator	Definition	Metric	Method	Existing sources to leverage	Alignment with other tracking efforts
namber	Indicator	Proportion of children under age five with suspected pneumonia in the previous two weeks who sought care from an appropriate health	Numerator: Number of children under age five with suspected pneumonia in the previous two weeks who sought care from an appropriate health care provider  Denominator: Total number of children under age five with suspected pneumonia in the previous	Population-based	DHS	Countdown 2015
P.1.	Pneumonia care-seeking	care provider <sup>6</sup>	two weeks	household survey	MICS	GAPPD
P.2.	Availability of nationally recommended antibiotic for pneumonia treatment	Proportion of appropriate healthcare treatment sources with the nationally recommended antibiotic(s) in-stock on the day of the survey	Numerator: Number of appropriate healthcare treatment sources with nationally recommended antibiotics in-stock on the day of the survey Denominator: Total number of appropriate healthcare treatment sources	Health facility assessment/Retail audit	SPA UNCoLSC Facility Assessment	UNCoLSC
P.3.	Amoxicillin recommended as the first or second-line treatment for	Amoxicillin is the first or second-line treatment for pneumonia in national	N/A	Document review	National Treatment Guidelines	
	Policy allowing local community- based provider to dispense nationally recommended	guidelines  There is a policy allowing local community-based provider to carry and dispense the nationally recommended antibiotics for	N/A			
P.4.	Registration of the pediatric formulation of the nationally	treating pneumonia  At least one pediatric formulation of the nationally recommended antibiotic for pneumonia treatment	N/A	Document review	MoH policy	Countdown 2015
P.5.	recommended antibiotic	registered with the National Drug Authorities	N/A	Document review	National Drug Registry	
	Appropriate pediatric antibiotic formulation for pneumonia is included in the Essential Medicines List (EML) and National	Pediatric formulations for the nationally recommended antibiotics for treating pneumonia are included in the EML and National	·		Essential Medicines List National Procurement	
P.6.	Procurement list	Procurement list	N/A	Document review	List	UNCoLSC

<sup>&</sup>lt;sup>6</sup> An appropriate healthcare provider is defined as any person legally able to carry and provide antibiotics according to the country's guidelines August 22, 2013

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### Table 2. Partners and Initiatives Consulted

Organization	Name			
Diarrhea & Pneumonia Working Group				
Abt Associates	Emily Sanders			
Bill and Melinda Gates Foundation	Julia Bosch, Saul Morris			
Clinton Health Access Initiative	Felix Lam			
FHI360	Camille Saade			
Johns Hopkins University	Christa Fischer Walker			
John Snow Inc.	Alexis Heaton, Savitha Subramanian			
MDG Health Alliance	Leith Greenslade			
PSI	Martin Dale			
Save the Children	Tanya Guenther			
SIAPS	Jane Briggs			
UNICEF	Mark Young, Bissie Tarekegn			
Other global initiatives				
UN Commission on Life-Saving Commodities (SCT)	Paul Pronyk			
UN Commission on Life-Saving Commodities (Rec 7)	Meg Galas			
UNICEF Statistics and Monitoring	Holly Newby, Liliana Carvajal			

### **Table 3. Key Sources and Citations Reviewed**

Source	Lead agency				
Global indicators for child health					
Countdown to 2015	Multi-agency				
Global Action Plan for Pneumonia and Diarrhea (GAPPD)	WHO, UNICEF				
UN Commission on Life-Saving Commodities	UNICEF				
Commission on Information and Accountability for Women's and	WHO				
Children's Health					
Existing data sources and surveys					
Demographic and Health Survey (DHS)	MEASURE DHS				
Service Provision Assessment (SPA)	MEASURE DHS				
Service Availability and Readiness Assessment (SARA)	WHO				
Multiple Indicator Cluster Survey (MICS)	UNICEF				
Measuring medicine prices, availability, affordability and price	WHO, HAI				
Toolkit on the Correct Use of Pediatric Zinc	Abt Associates, USAID SHOPS				
Logistics Indicators Assessment Tool (LIAT)	JSI, USAID DELIVER				
Drug Management Childhood Illness (DMCI)	MSH, USAID				
Community Drug Management Assessment Tool	MSH, USAID				
Monitoring and evaluation surveys for ORS/Zinc scale-up	CHAI				
Monitoring and evaluation surveys for CCM	Save the Children				
Relevant literature					

#### Relevant literature

Fischer Walker CL, Fontaine O, Black RE (2013) Measuring Coverage in MNCH: Current Indicators for Measuring Coverage of Diarrhea Treatment Interventions and Opportunities for Improvement. PLoS Med 10(5): e1001385. doi:10.1371/journal.pmed.1001385

Campbell H, el Arifeen S, Hazir T, O'Kelly J, Bryce J, et al. (2013) Measuring Coverage in MNCH: Challenges in Monitoring the Proportion of Young Children with Pneumonia Who Receive Antibiotic Treatment. PLoS Med 10(5): e1001421. doi:10.1371/journal.pmed.1001421

Hancioglu A, Arnold F (2013) Measuring Coverage in MNCH: Tracking Progress in Health for Women and Children Using DHS and MICS Household Surveys. PLoS Med 10(5): e1001391. doi:10.1371/journal.pmed.1001391